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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

MUTSCHLER, BRIAN L

ART UNIT

PAPER NUMBER

1753

DATE MAILED: 03/08/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/008,665

Applicant(s)

ALLING ET AL.

Examiner

Brian L. Mutschler

Art Unit

1753

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-3 and 7 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 7 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- 1) ☐ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 20040126.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Comments***

1. The rejection of claims 21-31 under 35 U.S.C. 112, second paragraph, has been overcome in light of Applicant's amendment.
2. Regarding the phrase "the second metal layer functions as an insulator layer" in claim 1, the following remarks are made to clarify the definition used by the Examiner to address the claim. The term "insulator" has multiple meanings. First, insulator can mean that the second metal layer isolates the first metal layer. Second, insulator can mean that the second metal layer is a poor conductor of electricity. Both definitions are appropriate in the instant claims. The second layer can be either isolating or a poor electrical conductor. Further clarification for the scope of the second definition is found in the instant disclosure in the first full paragraph on page 14, which states, "A first metal layer (e.g. conductive later) is deposited at a first reduction potential and a second metal layer (e.g. more resistive layer) is deposited at a second reduction potential." Therefore, the scope of what comprises an insulator in the electrical sense encompasses all materials that are more resistive than copper.

### ***Claim Objections***

3. Claim 1 is objected to because of the following informalities:
  - a. In claim 1 at line 8, please change "cadium" to --cadmium--.
  - b. In claim 1 at line 9, please change "cadium" to --cadmium--.
  - c. In claim 1 at line 14, please delete "distinct".

d. In claim 1 at line 15, please change "yaer" to --layer--.

Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-3 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Meltzer et al. (U.S. Pat. No. 6,547,946) in view of Akram et al. (U.S. Pat. No. 5,893,966), with evidence of physical properties provided by CRC Handbook of Chemistry and Physics ("Thermal and Physical Properties of Pure Metals", 3<sup>rd</sup> Electronic Edition).

Regarding claim 1, Meltzer et al. disclose a method for depositing multiple metal layers on a printed wiring board by single bath deposition, wherein a copper layer and a nickel layer are plated from a single bath containing a copper metal source and a nickel metal source (fig. 1; col. 5, lines 8-52). Copper is plated using a low reduction potential and nickel is plated using a high reduction potential, and the reduction potentials differ by more than 0.2 V (fig. 3; col. 5, lines 8-28). The nickel layer "contains a percentage of copper", which means the nickel layer is actually an alloy of nickel and copper (col. 5, lines 35-37). Additionally, the plating composition comprises additional metals such as manganese, chromium, zinc, iron, cobalt, cadmium, tin, lead, arsenic, antimony,

bismuth, gold, and silver (col. 7, lines 56-67). The nickel layer is an insulator according to both definitions described explained above. First, as seen in the table "Thermal and Physical Properties of Pure Metals", in the CRC Handbook of Chemistry and Physics, the resistivity of nickel is over 400% greater than the resistivity of copper. Second, the nickel layer acts as an "etch resist" layer, thus acting to isolate or insulate the copper layer from an etching solution (col. 5, lines 29-37). The copper layer forms copper circuitry (col. 5, lines 29-37).

Regarding claim 2, the copper layer is formed as a homogenous layer (col. 5, lines 29-31).

Regarding claim 3, the nickel layer "contains a percentage of copper", which means the nickel layer is actually an alloy of nickel and copper (col. 5, lines 35-37).

Regarding claim 7, Meltzer et al. disclose that the method for fabricating the layered printed wiring board was "adapted from layered electroforming techniques used to build up copper-nickel composite materials of high tensile strength [and t]hese materials typically had many alternating, very thin layers of each metal" (col. 5, lines 55-59). Additionally, Meltzer et al. teach that that the deposition of copper and nickel layers may be repeated more than 100 times, which would result in alternating layers of copper and nickel (col. 10, lines 60-61).

The method of Meltzer et al. differs from the instant invention because Meltzer et al. do not disclose that the substrate is a semiconductor microchip wafer substrate, as recited in claim 1.

Regarding claim 1, Akram et al. teach, "Semiconductor wafers, substrates and printed circuit boards (collectively hereinafter 'semiconductor substrates') are often coated with various metals" (col. 1, lines 16-20). Furthermore, Akram et al. teach, "Techniques for coating semiconductor substrates include electrodeposition...[and e]lectrodeposition has become a commonly used technology" (col. 1, lines 21-24).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the substrate used in the method of Meltzer et al. to use a semiconductor microchip wafer substrate because Akram et al. teach that semiconductor substrates are commonly coated using electrodeposition techniques and that equivalent semiconductor substrates include semiconductor wafers, substrates and printed circuit boards.

### ***Response to Arguments***

6. Applicant's arguments filed January 26, 2004, have been fully considered but they are not persuasive.

7. Regarding the rejection of the claims in the prior Office action, Applicant argues, "Nowhere does Melzer [sic] disclose or other wise suggest plating on substrates other than printed circuit boards, or plating second metals other than nickel" (see page 5 of Applicant's response).

8. In response to Applicant's first contention, the Akram et al. reference teaches that printed wiring boards are semiconductor substrates, a class of substrate comprising printed circuit boards and semiconductor wafers, and therefore Meltzer et al. teach

plating on the same class of substrates as that claimed by Applicant. Regarding Applicant's second contention, Meltzer et al. clearly teach the use of additional metals in the plating bath, including manganese, chromium, zinc, iron, cobalt, cadmium, tin, lead, arsenic, antimony, bismuth, gold, and silver.

9. Applicant further argues, "Akram et al. does not identify any specific metals being deposited by the reported method" (see page 5 of Applicant's response).

10. The reference of Akram et al. has not been relied upon to teach the deposition method. Meltzer et al. teach all of the deposition steps on printed circuit boards. Akram et al. teach that printed wiring boards *are* semiconductor substrates and are equivalent to semiconductor wafers. Therefore, it would have been obvious to one skilled in the art to use the method of Meltzer et al. to deposit metal layers on equivalent substrates.

### ***Conclusion***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian L. Mutschler whose telephone number is (571) 272-1341. The examiner can normally be reached on Monday-Friday from 7:30am to 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam Nguyen can be reached on (571) 272-1342. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

blm  
February 25, 2004



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